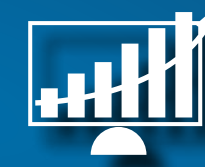




INVESTOR INTELLIGENCE
 CORPORATE GOVERNANCE
 PROXY LOGISTICS
 PROXY SOLICITATION



VIRTUAL SHAREHOLDER MEETING

- We assist companies with the planning, set up and execution of virtual and hybrid meetings. Alliance provides day of meeting live access for all record date shareholders to attend, vote and submit questions online. Alliance can also provide an Inspector of Elections to oversee and certify the vote

CONSULTING STAGE

- Preparation of Timeline Denoting Pertinent Dates
- Cost-Benefit Analysis of Notice & Access Model
- Select Full Set Delivery or Notice & Access
- Determine Document Structure



MEETING AND POST-MEETING STAGE

- Vote Tabulation
- Inspector of Elections
- Storage and Fulfillment

EXECUTION STAGE

- Broker Search
- Typesetting, EDGAR Filings, XBRL
- Printing of all Shareholder Documents
- Web Hosting
- Mailing and Distribution



Corporate Advisory, Reinvented

THE FUTURE IS NOW

At Alliance Advisors, we have reinvented the shareholder intelligence industry.

Leading the way with an innovative, holistic business practice and cutting-edge technological advancements, we provide unprecedented year-round support for our clients.

INVESTOR INTELLIGENCE | CORPORATE GOVERNANCE
 PROXY SOLICITATION | PROXY LOGISTICS

HOLISTIC, ANALYSIS-DRIVEN CONSULTATION

DEEP-DIVE, REAL-TIME
 DATA ANALYSIS

CUTTING-EDGE
 TECHNOLOGY

END-TO-END SERVICE
 OFFERINGS

UNSURPASSED
 INDUSTRY KNOWLEDGE

PROACTIVE YEAR-
 ROUND ENGAGEMENT



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Investor Intelligence

OWNERSHIP INSIGHT

- Share Flow Analysis
- Stock Performance
- Share Position Changes
- Activist Signal
- Influence Analysis

MARKET SURVEILLANCE

- Daily monitoring of all trading activity with Stock Alerts
- Identification of the custodial arrangements of your largest investors
- Insight into the major buyers/sellers of your stock including their strategy, sector activity, peer holdings and market commentary
- Identify Activist buying or selling and creeping accumulations
- IR and Corporate Governance Alerts
- Roadshow feedback
- Investor Day preparation

INVESTOR TARGETING

- Develop a peer group and review their ESG initiatives
- Conduct ESG proposal research, trends and voting data
- Evaluate ESG voting policies of your investors and the proxy advisory firms
- Implement and manage a shareholder engagement program

PERCEPTION STUDIES

- We conduct perception studies that provide comprehensive qualitative and quantitative feedback on the investment community's view of your company to improve relationships with your investors and sell-side analysts by providing insight and feedback into the drivers of their investment decisions.

RETAIL HOLDINGS ANALYSIS

- Alliance's Investor Intelligence Group will identify key retail holders including non-13f filers such as, wealth management advisors, endowments, corporate pension funds, foreign institutions, and domestic holders that fall below the filing thresholds.



Corporate Governance

CORPORATE GOVERNANCE ADVISORY

- Election of directors, say-on-pay, mergers & acquisitions, equity plans, increases in authorized shares, the issuance of preferred stock, reverse stock splits, the elimination of super majority voting, re-incorporations and bylaw amendments

EQUITY PLAN ANALYSIS

- Analyzing your shareholder base and their proxy voting policies to design a plan in compliance with the policies of your largest shareholders
- Identifying primary vote drivers that institutional investors will use in making voting decisions such as voting power dilution, burn rate and other plan provisions
- Provide a projection on how shareholders are likely to vote on your plan proposal
- ISS Equity Plan Scorecard modeling

SHAREHOLDER ENGAGEMENT

- Investor Dossiers
- Potential governance, compensation or ESG questions you may need to address
- Mock investor calls to run through the questions and appropriate answers
- Post review of shareholder calls

ESG ADVISORY

- Develop a peer group and review their ESG initiatives
- Conduct ESG proposal research, trends and voting data
- Evaluate ESG voting policies of your investors and the proxy advisory firms
- Implement and manage a shareholder engagement program



UNMATCHED RESOURCES

- Extensive historical voting data, unsurpassed research capabilities and more accurate vote projections.
- Analysis of the level of proxy advisory firm influence
- Connections with voting decision makers at the major institutional investors
- Proprietary database of institutional investor custodial relationships
- Robust shareholder engagement outreach to institutional investors in the off-season as well as post filing of the proxy to maximize support
- Assist clients with ISS and Glass Lewis meetings/conference calls
- Significant ability to connect with retail investors using our contact center technology
- Custom daily vote tabulation reports
- Year-round updates including institutional investor guidelines, voting trends to policy developments at the proxy advisory firms.
- SEC guidance/announcement tracking

